

# A non-LTE abundance analysis of the post-AGB star ROA 5701

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## ABSTRACT

An analysis of high-resolution Anglo-Australian Telescope (AAT)/ University College London Échelle Spectrograph (UCLÉS) optical spectra for the ultraviolet (UV)-bright star ROA 5701 in the globular cluster  $\omega$  Cen (NGC 5139) is performed, using non-local thermodynamic equilibrium (non-LTE) model atmospheres to estimate stellar atmospheric parameters and chemical composition. Abundances are derived for C, N, O, Mg, Si and S, and compared with those found previously by Moehler et al. We find a general metal underabundance relative to young B-type stars, consistent with the average metallicity of the cluster. Our results indicate that ROA 5701 has not undergone a gas–dust separation scenario as previously suggested. However, its abundance pattern does imply that ROA 5701 has evolved off the AGB prior to the onset of the third dredge-up.

**Key words:** stars: abundances – stars: AGB and post-AGB – stars: early-type – stars: individual: Cl\* NGC 5139 WOR 1957 – globular clusters: individual: NGC 5139

## 1 INTRODUCTION

Stars with initial masses between 0.8 and  $8 \text{ M}_\odot$  pass through the Asymptotic Giant Branch (AGB) phase of evolution, and undergo significant mass loss (Iben & Renzini 1983). Evolving from the tip of the AGB to the planetary nebula stage, stars move through the post-AGB phase (typically  $10^3$  –  $10^5$  years), during which the circumstellar dust shell moves away from the star and decreases in temperature, from  $\sim 400$  to 100 K (Zijlstra et al. 1992). The properties of post-AGB stars have been reviewed by, for example, Kwok (1993) and Van Winckel (2003). This evolutionary phase is important as it provides an insight into nucleosynthesis and mixing processes during the later stages of stellar evolution. Most post-AGB stars have been identified from their infrared excess (e.g., from *IRAS* observations), originating from the circumstellar dust shell. This shell is more readily detected during the initial stages of post-AGB evolution, when it is relatively hot and close to the star, and hence the majority of stars identified as being at a post-AGB evolutionary stage have just left the AGB and are of spectral type A, F or G (Oudmaijer 1996). However, there are many features of post-AGB evolution which are not yet fully understood. For example, several stars exhibit abundance patterns similar to interstellar gas, with elements such as Mg, Si, Al, Ca, Ti etc following the very low Fe abundance, while C, N,

O and S are near solar (Van Winckel, Waelkens & Waters 1995; see, for example, Luck & Bond 1984; Parthasarathy, Garcia Lario & Pottasch 1992; Napiwotzki, Heber & Köppen 1994). Mathis & Lamers (1992) discussed the conditions necessary for such a ‘cleaned-up’ photosphere in post-AGB stars, suggesting that the process is likely to occur during mass transfer between members of a binary system, or accretion triggered by a binary companion. Waters, Trams & Waelkens (1992) added that accretion of material from a circumstellar disc around a binary system was a favorable scenario. In this, grains form in the circumstellar shell produced during the AGB phase, and the subsequent slow accretion of gas from the material results in the observed abundance patterns. Van Winckel et al. (1995) found that all the extremely iron deficient post-AGB stars, known at that time, were binaries, supporting this hypothesis.

Although the majority of post-AGB candidates are cool objects, several B-type stars originally classified as Population I stars are now believed to be at this evolutionary stage, on the basis of their derived atmospheric parameters and chemical compositions (see, for example, Ryans et al. 2003). These B-type stars should be evolving from the cooler post-AGB stars, but significant differences are displayed. The most obvious is the large carbon underabundance found for several hot objects, which may imply that they have left the AGB prior to the onset of the third dredge-up (for example, Conlon et al. 1991; McCausland et al. 1992; Ryans et al. 2003; Jasniewicz et al. 2004).

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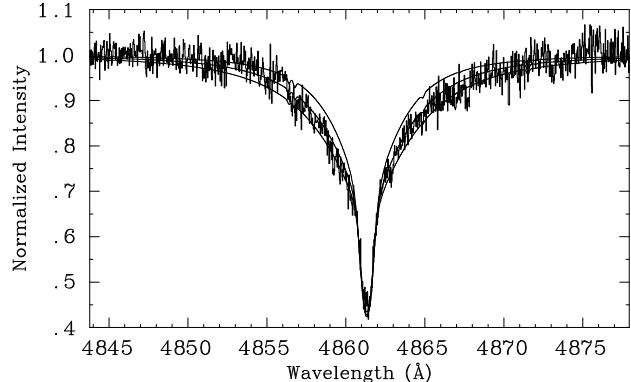
The abundance differences between hot and cool post-AGB candidates indicate that further study is required to fully understand this evolutionary stage. It is important to begin by studying hot post-AGB objects of known initial metallicity, rather than field stars of unknown origin. Such a sample are the ultraviolet (UV)-bright stars found in several globular clusters (Conlon, Dufton & Keenan 1994; Dixon, Brown & Landsman 2004; Mooney et al. 2004), whose post-AGB nature has been established from their position in the cluster colour-magnitude diagram (Landsman et al. 2000). The term ‘UV-bright’ was first suggested by Zinn, Newell & Gibson (1972) and denotes stars which have ultraviolet fluxes larger than those in either the red giants or the horizontal branch stars (Norris 1974). For an excellent review of hot stars in globular clusters see Moehler (2001).

ROA 5701, in the Galactic globular cluster  $\omega$  Centauri, is a well studied UV-bright star due to its relative brightness (Norris 1974; Cacciari et al. 1984; Moehler et al. 1998). The metal-poor  $\omega$  Cen is known to have (at least) three stellar populations, with metallicities:  $[\text{Fe}/\text{H}] \sim -1.6$ , corresponding to the metal poor population containing the bulk of the stars,  $[\text{Fe}/\text{H}] \sim -1.2$  for an intermediate metallicity population and  $[\text{Fe}/\text{H}] \sim -0.5$  for a metal-rich population comprising 5 per cent of the stars (Bedin et al. 2004; Hilker et al. 2004; Norris 2004; Piotto et al. 2005). In this paper, we analyse high-resolution optical spectra of ROA 5701 using non-local thermodynamic equilibrium (non-LTE) model atmosphere techniques to estimate its atmospheric parameters and chemical composition, leading to a reconsideration of its evolutionary status.

## 2 OBSERVATIONS AND DATA REDUCTION

The spectroscopic data presented here were obtained with the 3.9-m Anglo-Australian Telescope (AAT) on the nights of 2000 July 15 and 16. The University College London Échelle Spectrograph (UCLÉS) was used with the MITLL 2A CCD ( $2048 \times 4096$ ) detector, the 31 groove  $\text{mm}^{-1}$  grating and the 70 cm camera, giving a linear dispersion of  $2 \text{ \AA mm}^{-1}$  and a spectral resolution [full width at half maximum (FWHM)] of  $R \sim 40000$ . The read-out noise and gain were  $1.8 \text{ e}^-$  and  $0.37 \text{ e}^- \text{ ADU}^{-1}$  respectively. Two overlapping wavelength regions were observed, resulting in a spectral coverage of  $3760$ – $9400 \text{ \AA}$ . Flat-field and bias frames were exposed at the beginning and end of the nights, while thorium-argon wavelength calibration spectra were interwoven with the stellar spectra. Reduction of the raw two dimensional CCD images were performed using standard procedures within the Image Reduction and Analysis Facility (IRAF; Barnes 1993). Procedures such as bias subtraction and flat fielding were achieved using the CCDRED package (Massey 1997). Cosmic-ray removal, sky subtraction, stellar extraction and wavelength calibration were performed using SPECRED (Massey, Valdes & Barnes 1992) and DOEC-SLIT (Valdes 1993).

After extraction, the spectra were co-added (resulting in a signal-to-noise ratio of  $\sim 30$ ) and inserted into the Starlink package DIPSO (Howarth et al. 2004) for further analysis. The spectral continuum was normalised, with low-order ( $< 5$ ) polynomials in regions of spectra free from absorption



**Figure 1.** Observed and theoretical line profile for  $\text{H}\beta$  at  $4861 \text{ \AA}$  in the spectrum of ROA 5701. The theoretical profiles have been generated for surface gravities of 3.0, 3.25 and 3.5 dex (lower, middle and upper smooth curves, respectively), and imply a surface gravity of  $\log g = 3.2$  dex.

lines. Following this, they were radial velocity shifted to the restframe, using unblended metal and hydrogen lines. This resulted in the kinematical Local Standard of Rest radial velocity  $v_{\text{lsr}} = +246 \pm 3 \text{ km s}^{-1}$ , using corrections generated by the Starlink program RV (Wallace & Clayton 1996). Equivalent widths were measured for metal absorption lines by utilising the emission line fitting (ELF) routines within DIPSO. ELF uses non-linear least squares Gaussian fitting, with line-centre, linewidth and line-strength as variable parameters. The typical FWHM for the metal absorption line spectrum was  $\sim 11 \text{ km s}^{-1}$ , indicating that a small amount of broadening is evident in the lines. The error associated with the measurement of equivalent widths has been previously discussed (Dufton et al. 1990), with a well-observed unblended feature having an accuracy of typically 10 per cent (designated as quality a in Table 1), a weak or blended feature accurate to 20 per cent (designated b), and weaker features with accuracy less than 20 per cent (designated c). For the Balmer hydrogen lines (i.e.,  $\text{H}\alpha$ ,  $\text{H}\beta$ ,  $\text{H}\delta$ ,  $\text{H}\gamma$ ) and diffuse  $\text{He I}$  lines, equivalent widths were not measured, but theoretical profiles were fitted to the normalized observational data (see, for example, Fig. 1).

## 3 ANALYSIS

### 3.1 Non-LTE atmosphere calculations

Non-Local Thermodynamic Equilibrium (non-LTE) model atmosphere grids have been calculated using the codes TLUSTY and SYNSPEC (Hubeny 1988; Hubeny & Lanz 1995; Hubeny, Heap & Lanz 1998) and employed to derive chemical abundances and atmospheric parameters. Detailed discussions of the grids and methods can be found in Ryans et al. (2003) and Dufton et al. (2005), and also at <http://star.pst.qub.ac.uk>.

Essentially, four grids have been developed with metallicities based on our Galaxy (i.e., Fe abundance of  $[\text{Fe}/\text{H}] = 7.5$  dex, where  $[\text{H}] = 12.00$ ), and metallicities reduced by 0.3 dex [Large Magellanic Cloud (LMC)], 0.6 dex [Small Magellanic Cloud (SMC)] and 1.1 dex (for low metallicity regimes). Approximately 3000 models were calculated per metallicity (i.e., 12000 in total) for a range of effective

**Table 1.** Equivalent width measurements and corresponding abundances for the observed metal lines.

Wavelength (Å)	Species	W (mÅ)	Quality <sup>†</sup>	Abundance*
3911.96 <sup>+</sup>	O II	23	a	7.52
3919.28	O II	30	b	7.98
3945.04	O II	17	b	7.65
3954.37	O II	41	a	7.81
3982.71	O II	19	c	7.70
4069.62 <sup>+</sup> *	O II	42	a	7.72
4069.89 <sup>+</sup> *	O II	47	a	...
4072.16	O II	59	a	7.60
4075.86	O II	66	a	7.52
4078.84	O II	18	a	7.70
4132.80	O II	27	a	7.79
4185.46	O II	31	a	7.77
4317.14 <sup>+</sup>	O II	38	a	7.75
4319.63	O II	36	a	7.71
4349.43	O II	64	a	7.73
4351.27 <sup>+</sup>	O II	38	a	7.46
4366.90 <sup>+</sup>	O II	40	a	7.71
4369.27	O II	11	c	7.83
4395.93	O II	16	c	7.84
4414.91	O II	64	a	7.67
4416.98	O II	50	a	7.77
4590.97	O II	45	a	7.61
4596.17	O II	40	a	7.65
4638.86	O II	52	a	7.82
4641.81	O II	87	a	7.79
4649.14 <sup>+</sup> *	O II	94	a	7.67
4650.84 <sup>+</sup> *	O II	47	a	...
4661.64	O II	50	a	7.72
4676.24	O II	39	b	7.69
4699.22 <sup>+</sup>	O II	28	b	7.55
4705.35	O II	32	b	7.59
4710.01 <sup>+</sup>	O II	20	b	8.17
4906.83	O II	18	c	7.62
4924.53	O II	38	a	8.00
4941.07	O II	16	b	8.01
4943.00	O II	20	b	7.89
3995.00 <sup>+</sup>	N II	44	b	6.97
4241.78 <sup>+</sup>	N II	14	c	7.57
4447.03	N II	12	c	6.81
4630.54	N II	30	b	7.00
4643.09	N II	17	b	7.17
5001.00 <sup>+</sup>	N II	46	b	6.92
5005.15	N II	31	b	6.88
5007.33	N II	14	c	7.06
4267.00 <sup>+</sup>	C II	< 10	...	< 6.28
6578.05	C II	< 10	...	< 6.51
4088.85	Si IV	38	a	5.97
4116.10	Si IV	22	a	5.91
4552.62	Si III	73	a	5.94
4567.82	Si III	50	a	5.93
4574.76	Si III	22	a	5.95
4481.00 <sup>+</sup>	Mg II	21	c	6.27
4253.49	S III	17	c	5.71
4529.19	Al III	< 10	...	< 5.21

<sup>†</sup>a = a typical accuracy of 10 per cent, b = a typical accuracy of 20 per cent, c = an accuracy less than 20 per cent.

\*Logarithmic abundance [M/H] on the scale  $\log[H] = 12.00$ .

<sup>+</sup>Treated as blends in TLUSTY.

\*The equivalent widths of the adjacent lines were combined to produce the corresponding abundances shown.

temperatures ( $T_{\text{eff}} = 12000\text{--}35000$  K), logarithmic gravities ( $\log g = 4.5$  dex down to close to the Eddington limit depending on the effective temperature) and microturbulences ( $\xi = 0\text{--}30$   $\text{km s}^{-1}$ ). For each grid the iron abundance was fixed and the other abundances (C, N, O, Mg, Si, S) were varied around their base rate from  $-0.8$  to  $+0.8$  dex.

A crucial assumption with this method is that the metal line blanketing is dominated by iron. The atmospheric structure is then defined by the iron abundance (metallicity), the effective temperature, gravity and microturbulence (which affects the amount of blanketing). Hubeny et al. (1998) have discussed the effects of including different amounts of line blanketing in their TLUSTY model atmospheres. They find that while the inclusion of light elements is important, the iron group elements dominate the line blanketing. Additionally a comparison for models including only iron, and iron and nickel, line blanketing indicated that the inclusion of nickel leads to only modest changes with, for example, the UV continuum changing by about 5 per cent. Hence although the exclusion of this source of blanketing may lead to additional uncertainty in the atmospheric parameters and chemical compositions, it is unlikely to invalidate the results found here. It is also assumed that the adopted abundances of the light elements can vary without significantly affecting the atmospheric structure. Dufton et al. (2005) tested this assumption by considering a variety of sets of atmospheric parameters. For each set, the abundance of one of the light elements was fixed, while those of the other light elements were allowed to vary. They found that changes in equivalent widths were always less than 5 per cent, thus implying that the assumption is reasonable.

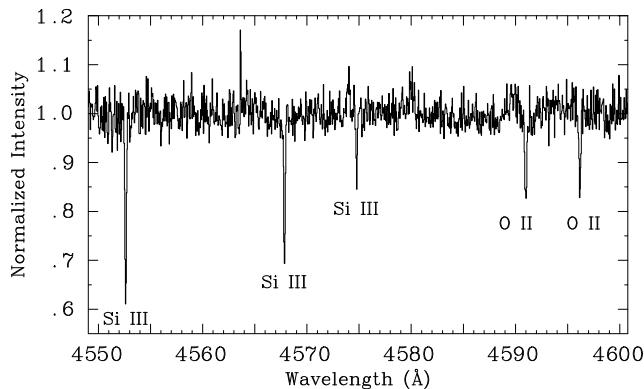
The models were used to calculate spectra, which provide theoretical profiles of H I and He I lines, and profiles and equivalent widths of transitions in light metals for a range of abundances. The theoretical metal line equivalent widths were accessed via a GUI interface written in Interactive Data Language (IDL), which allows the user to interpolate in order to calculate equivalent widths and abundance estimates for approximately 200 metal lines for any given set of atmospheric parameters. Ryans et al. (2003) reported that the abundance increment of 0.4 dex used in the grids was sufficiently fine to ensure that no significant errors were introduced by the interpolation procedures. Full theoretical spectra are also available for any given model.

### 3.2 Atmospheric parameters

The atmospheric parameters were determined using standard procedures discussed in, for example, Kilian (1992); Trundle et al. (2004); Hunter et al. (2005), and will only be covered here briefly. The parameters of effective temperature, gravity, microturbulence and metallicity (iron content) of the star are interrelated so an iterative process is required to determine each parameter. The grid with an iron abundance of 1.1 dex below solar was selected, as this was most appropriate to the metallicity of the  $\omega$  Cen globular cluster, namely  $[\text{Fe}/\text{H}] = -1.2$  dex (Piotto et al. 2005). The validity of this grid selection will be discussed below. The adopted atmospheric parameters and associated errors are listed in Table 2, together with those derived previously for ROA 5701 (Norris 1974; Cacciari et al. 1984; Moehler et al. 1998).

**Table 2.** Adopted atmospheric parameters for ROA 5701 as derived here and previously by Norris (1974), Cacciari et al. (1984) and Moehler et al. (1998).

Star	$T_{\text{eff}}$ $10^3$ K	$\log g$ dex	$\xi$ $\text{km s}^{-1}$
This paper	$25 \pm 1$	$3.2 \pm 0.2$	$5 \pm 5$
Moehler et al. (1998)	22–24.5	3.2–3.4	2–3 or 20
Cacciari et al. (1984)	24	...	...
Norris (1974)	26.6	3.5	...



**Figure 2.** Portion of the observed spectrum for ROA 5701 in the restframe, covering the wavelength region 4550–4600 Å, showing several O II and Si III transitions.

### 3.2.1 Effective temperature, $T_{\text{eff}}$

An estimate for the effective temperature,  $T_{\text{eff}}$ , was determined by balancing the abundance estimated for silicon from different ionization stages (Si III and Si IV), initially assuming a surface gravity of 3.2 dex and a microturbulence of  $5 \text{ km s}^{-1}$ , leading to a final effective temperature estimate,  $T_{\text{eff}} = 25000$  K. The He II line at 4541.59 Å was not observed, and using theoretical profiles it was possible to put an upper limit of  $T_{\text{eff}} < 26000$  K, assuming a normal helium abundance. Therefore, the error adopted for the effective temperature is  $\pm 1000$  K, which would produce a change in the silicon abundance estimates of  $\pm 0.1$  dex for Si III and  $\pm 0.3$  dex for Si IV. Lee et al. (2005) found that using grids of different iron abundance changed the estimate by typically  $\leq 500$  K, so this should not be a major source of error.

### 3.2.2 Logarithmic gravity, $\log g$

The surface gravity was estimated by comparing the observed spectrum in the region of the Balmer lines with theoretical profiles, and led to an estimate of  $\log g = 3.2$  dex (Fig. 1). Using different iron abundance grids did not affect the gravity estimate, while the quality of the observed spectrum implies an uncertainty associated with the fitting of approximately  $\pm 0.2$  dex.

### 3.2.3 Microturbulence, $\xi$

The microturbulence was initially assumed to be  $5 \text{ km s}^{-1}$  for the purposes of estimating the effective temperature

and surface gravity. In order to constrain this value, the abundance estimates from 34 observed O II lines were initially assumed to be independent of the line strength (i.e., a plot of abundance against line strength has a zero gradient). However, Hunter et al. (2005) state that using O II lines from different multiplets may compromise the validity of this method. Therefore, the Si III multiplet (Fig. 2) was also used to remove this compromise. The O II and Si III multiplets were in agreement, giving an estimate of  $5 \text{ km s}^{-1}$ , with an adopted error of  $\pm 5 \text{ km s}^{-1}$  to allow for the uncertainty in the analysis. Using different metallicity grids again did not alter these estimates.

### 3.3 Abundances

The adopted atmospheric parameters (as shown in Table 2) were used to determine non-LTE abundances for ROA 5701. The atomic data were mainly taken from <http://star.pst.qub.ac.uk>, with additional sources being Hambly et al. (1996a,b) and Sarkar, Parthasarathy & Reddy (2005). Table 1 contains the observed wavelengths at the restframe, equivalent widths and abundance estimates for the metal lines observed. For the C II and Al III lines, it was only possible to derive upper limits for the equivalent widths and corresponding element abundances. These upper limits were estimated by fitting theoretical profiles to the appropriate spectral region, allowing for the quality of the spectra and surrounding features. In some cases more than one line contributes to the measured equivalent width, and these lines are treated as blends in TLUSTY.

Table 3 contains the abundance estimates for each species along with their error estimates. Also included are the abundance values found previously by Moehler et al. (1998) for ROA 5701. Non-LTE abundance estimates for samples of young B-type stars, both in the local field (Kilian 1992, 1994) and in the young Galactic cluster NGC 6611 (Hunter; in preparation), are also presented. The latter have been determined using the TLUSTY code, as in the present paper. Typical interstellar medium (ISM) abundances for the elements are also tabulated (Wilms, Allen & McCray 2000).

The errors associated with the derived abundances arise from random errors connected with analysing the data, such as observational uncertainties, errors in oscillator strengths and line fitting, and from systematic errors arising from the stellar atmospheric parameter estimates. The random uncertainty is taken as the standard deviation of the abundances for any given species divided by the square root of the number of lines observed of that species. If only one line of a species was observed, e.g., Mg II, the random uncertainty was taken to be the standard deviation of the best-observed species, O II. The systematic uncertainties were estimated by changing each parameter in turn by its associated error, and the resulting abundance was compared to the abundance values shown in Table 3. The random and systematic errors were then squared, summed and square rooted to give the total uncertainties as shown in Table 3. Hunter et al. (2005) gives further details of this methodology.

There are currently no non-LTE grids available for S III and Al III, and for these elements a non-LTE model was calculated (using TLUSTY) for the adopted atmospheric pa-

rameters, which was then used to generate theoretical LTE equivalent widths. These were used to derive abundance estimates, which are listed in Table 3 along with the uncertainties utilizing the same method as discussed above.

## 4 RESULTS AND DISCUSSION

The adopted atmospheric parameters are shown in Table 2. The absolute abundance estimates are summarized in Table 3, with differential abundances in Table 4. In the following subsections these results are examined, and considered with previous work, leading to a discussion of the evolutionary status of ROA 5701.

### 4.1 Chemical composition of ROA 5701

Helium abundances have not been derived explicitly in our work; instead a normal helium abundance has been assumed throughout the analysis. To test this assumption, theoretical model profiles were compared with the observed spectra at 4026, 4471 and 4921 Å. Within the uncertainties of the atmospheric parameters, there is good agreement between theory and observation, and therefore this assumption appears valid.

There are a number of absorption lines in the ROA 5701 spectrum due to O II and N II, for the majority of which the accuracy of their equivalent widths was better than 20 per cent. For oxygen there are four lines, and nitrogen three lines, with an accuracy worse than 20 per cent. If these lines are not included in the absolute abundance estimates, the resulting values would still be within the uncertainty of the abundances listed in Table 3. Therefore, including lines of poorer observational quality does not seem to adversely affect the abundance estimates. Although other lines attributed to O II were detected, they are blended with hydrogen and helium lines and have not been included in the non-LTE calculations.

The carbon abundance is given as an upper limit, as it was only possible to estimate an upper limit for the C II line equivalent widths (at 4267.00 and 6578.05 Å), due to the quality of the spectra. Eber & Butler (1988) found that a carbon abundance from the 4267-Å line can be reduced by up to 0.5 dex due to non-LTE effects. Sigt (1996) demonstrated, through detailed non-LTE calculations, that the 6578-Å line is more reliable than the 4267-Å line for  $T_{\text{eff}} < 25000$  K. Therefore, the abundance shown in Table 3 is from the 6578-Å line only.

For magnesium, it was possible to observe the doublet of Mg II at 4481 Å, but the abundance appears reliable. The abundance estimates from the Si III spectrum are less sensitive to changes in atmospheric parameters compared with those from Si IV. As previously discussed, a change of effective temperature ( $\pm 1000$  K) alters the Si III abundance by  $\pm 0.1$  dex and the Si IV abundance by  $\pm 0.3$  dex, which is reflected in the error estimates in Table 3.

A sulphur abundance was derived from a single line at 4253.49 Å, which is not normally used, due to the difficulty in resolving it from O II lines at 4253.89 and 4253.91 Å, for stars with significant rotational velocities. To investigate that this is indeed real and not a noise feature, the spectrum of  $\gamma$  Peg, a main-sequence B-type star of  $T_{\text{eff}} = 24000$  K and

$\log g = 4.0$  dex (Ryans et al. 1996), was examined. This confirmed that the 4253.49-Å line is stronger than other observed sulphur features (i.e., those at 4284.90, 4361.47 and 4364.66 Å). The equivalent width (and hence abundance) derived does not appear to be affected by any contributions from the O II lines, although the abundance obtained is based on LTE calculations and may not be as reliable as those from a non-LTE analysis. However, Hunter et al. (2005) found that for sulphur, the mean LTE abundance was lower than the non-LTE abundance by only 0.01 dex, and so concluded that non-LTE effects appear negligible for this species when using the appropriate atmospheric parameters.

Aluminium was not observed so an upper limit was set for the equivalent width and abundance estimate using the Al III line at 4529.19 Å. This abundance in ROA 5701 is also based on LTE calculations and should be treated with caution. However, Hunter et al. (2005) state that if the dominant ion stage is used to calculate the LTE abundance then this value will generally be in good agreement with non-LTE abundances. A feature was observed at 4512.41 Å and had been originally classified as Al III (at 4512.56 Å). However, using the  $\gamma$  Peg spectrum, the relative line strengths were examined, showing that the Al III line at 4529.19 Å should be a stronger feature. There was no absorption visible at 4529.19 Å indicating that the line at 4512.41 Å, if real, is not due to Al III.

No absorption due to iron was detected in the spectrum of ROA 5701. Adopting the average metallicity of the cluster (i.e.,  $[\text{Fe}/\text{H}] = -1.2$  dex; Piotto et al. 2005), synthetic spectra were derived to investigate if strong Fe III features, e.g., at 4419.60 Å, would be observable. It was noted that, with spectra of a higher signal-to-noise, it is possible that these features would be observable, with predicted equivalent widths of  $\gtrsim 10$  mÅ. There was another unidentified feature, at 4352.55 Å, which was originally thought to be a Fe III line at 4352.58 Å. However examining the spectrum of  $\gamma$  Peg, the line strengths for other known Fe III lines (i.e., at 4164.73, 4419.60 and 4431.02 Å) were found to all be stronger than the line at 4352.58 Å, also seen in  $\gamma$  Peg. It is unlikely that the observed feature is due to iron as there are no other absorption lines of Fe III detected. Another possibility is that it is due to nitrogen, as there is a N II line expected at 4352.22 Å. However, model atmospheric calculations indicate that this N II feature should be very weak and so no identification for this line is currently available.

### 4.2 Comparisons with previous work

#### 4.2.1 Atmospheric parameters

In Table 2 the atmospheric parameters for ROA 5701 are summarized as derived in this paper and from previous studies (Norris 1974; Cacciari et al. 1984; Moehler et al. 1998). From an analysis of narrow-band and *UBV* observations, Norris (1974) found  $T_{\text{eff}}$  and  $\log g$  estimates. Cacciari et al. (1984) obtained an estimate for the effective temperature based on UV spectra from the *International Ultraviolet Explorer* (IUE) satellite. Moehler et al. (1998) used high resolution ESO Cassegrain Echelle Spectrograph (CASPEC) optical spectra and UV data obtained with the Goddard High Resolution Spectrograph (GHRS) to obtain their results.

**Table 3.** Absolute abundances for ROA 5701 along with the estimated uncertainties. The bracketed values (column two) represent the number of lines observed for each species. Also listed are the results derived for ROA 5701 by Moehler et al. (1998), the values for young B-type stars in the local field (Kilian 1992, 1994) and in the Galactic cluster NGC 6611 (Hunter; in preparation), and abundances in the interstellar medium (Wilms et al. 2000).

Species	ROA 5701		Young B-type Star			
	This paper	Moehler et al. (1998)	Local Field	NGC 6611	ISM	
C II	$<6.51 \pm 0.18$	(1)	<5.85	8.20	7.95	8.38
N II	$7.05 \pm 0.15$	(8)	6.86	7.69	7.59	7.88
O II	$7.75 \pm 0.13$	(34)	7.97	8.55	8.55	8.69
Mg II	$6.27 \pm 0.19$	(1)	...	7.38	7.32	7.40
Si III	$5.94 \pm 0.27$	(3)	6.14	7.28	7.41	7.27
Si IV	$5.95 \pm 0.47$	(2)	...	...	...	...
Al III	$<5.21 \pm 0.23$	(1)	...	6.22	...	6.33
S III	$5.71 \pm 0.21$	(1)	...	7.25	...	7.09
Fe III	...		4.79	...	7.50	7.43

Comparing the atmospheric parameters obtained in this paper, indicates that there is good agreement with those previously found. The effective temperature and surface gravity estimates are within the ranges of the previous values, with the exception of Norris (1974). This is most likely due to different values of  $(B-V)$  used in their calculations, as cited in Cacciari et al. (1984). Kilian (1992) states that a spectroscopic analysis produces more reliable atmospheric parameters than a photometric analysis, so the Balmer line profiles and the silicon ionization equilibrium should be reliable methods for  $T_{\text{eff}}$  and  $\log g$ , indicating that the values deduced here are credible.

The microturbulence obtained by Moehler et al. (1998) differs depending on the method adopted. Two values are presented, the larger ( $20 \text{ km s}^{-1}$ ) derived from the O II lines, but if Fe III transitions are employed the value decreased to  $2-3 \text{ km s}^{-1}$ . Gies & Lambert (1992) note that including non-LTE effects reduces high microturbulence velocities compared to using LTE effects, from  $20 \text{ km s}^{-1}$  to approximately  $10 \text{ km s}^{-1}$  for the O II spectrum. We test this for our data using the TLUSTY grid to obtain a LTE microturbulence from our observed lines. An estimate of  $10 \text{ km s}^{-1}$  was calculated, which is higher than our non-LTE value, thus in agreement with Gies & Lambert (1992). Allowing for non-LTE effects gives agreement between our values and that of Moehler et al. (1998), within the estimated error. In general, we believe that the values presented in this paper are more reliable than the previous results discussed, due to improvements in the quality of the observational data and the theoretical models adopted.

#### 4.2.2 Chemical composition

Moehler et al. (1998) have previously analysed ROA 5701, and their absolute abundance estimates are shown in Table 3, along with our abundance estimates. As stated above, they used CASPEC and GHRS spectra, using both a curve-of-growth method and spectrum synthesis with an LTE grid. From the optical spectrum, abundances for C, N, O and Si were obtained, and an iron abundance from the UV spectrum; Mg, Al and S were not observed. Error estimates for

the measured equivalent widths were not included in their tables 2 and 3, but for the values given there is general agreement with those found here (to within approximately  $\pm 10 \text{ m}\text{\AA}$ ). We note that the Moehler et al. (1998) abundance results in Table 3 are the average of their curve-of-growth and spectrum synthesis results.

Absolute abundances may contain systematic errors due to uncertainties in the atmospheric parameters and atomic data. In order to minimize such errors, the ROA 5701 abundances were also compared with young B-type star values, of known chemical composition (Population I), found using similar non-LTE models (Table 4, which also contains differential abundances relative to the ISM). Where possible, the results from the cluster NGC 6611 (Hunter; in preparation) were used, as these were derived using the same model atmosphere non-LTE calculations.

Comparing our abundances with those found by Moehler et al. (1998), we see similar trends of underabundance. Their carbon value is much lower than ours despite having used the same upper limit value for the equivalent width. This lower abundance could be due to differences in their model atmosphere calculations, especially given the large non-LTE effects predicted for the C II ion (Sigut 1996), but the result found here is in better agreement with the C abundance of other stars found in  $\omega$  Cen.

Our O, N and Si abundance values differ from Moehler et al. (1998) by typically 0.2 dex, this could be due to non-LTE effects. For example, Gies & Lambert (1992) and Kilian (1994) found that, for B-type stars, LTE abundances agree to 0.2 dex with corresponding non-LTE values. To test if non-LTE effects are the cause of abundance differences, we have derived, using the TLUSTY grid, LTE abundances for carbon of  $<6.25$ , nitrogen of 7.12, oxygen of 7.96 and silicon of 6.32 dex. Our oxygen abundance is in very good agreement with that of Moehler et al. (1998). The nitrogen and silicon abundances are 0.26 and 0.18 dex, respectively, larger, while the carbon abundance is in better agreement with that of Moehler et al. (1998), compared to our non-LTE value, although it is still an upper limit. The increase in LTE abundance estimates for C, N and Si, compared to those of Moehler et al. (1998), could be due to

using different atmospheric parameters and equivalent width estimates. The non-LTE results should be more reliable, as non-LTE models and more lines have been used to calculate the abundances, thereby reducing associated errors.

No abundance estimates were derived by Moehler et al. (1998) for Mg or S, as no lines from these elements were observed. Therefore, our results yield new abundance measurements for these species in ROA 5701. Compared with the other derived abundances, they show similar abundance patterns and this is discussed further in the following section.

Moehler et al. (1998) were able to use their UV spectrum to obtain a differential iron abundance of  $[\text{Fe}/\text{H}] = -2.7$  dex, which is lower than that found for any other star in  $\omega$  Cen to date. However, even in metal-poor stars, the UV spectral region is crowded so continuum placement is difficult. Moehler et al. (1998) indicate that an uncertainty of 5 per cent in the continuum definition of their spectrum would give an error of 0.2 dex in their iron abundance. There may also be systematic errors which could be reduced if abundances were compared with young B-type stars. This is unfortunately not possible for the UV Fe III lines, as normal B-type stellar spectra are affected by line crowding and often saturation.

### 4.3 Evolutionary status

Studies of post-AGB stars have shown that some of these objects exhibit abundance trends similar to that of interstellar gas, i.e., with CNO and S near solar and Mg, Si, Ca, etc following the low abundances of Fe (Van Winckel et al. 1995). Examples of such objects include HD 46703 (Luck & Bond 1984) and HD 56126 (Parthasarathy et al. 1992). Grain formation in the circumstellar shells of stars during the AGB evolution has been suggested to explain the origin of such a ‘cleaned-up’ photosphere. The premise is that during the AGB phase, in the cool atmosphere of the star, a circumstellar shell is formed in which dust condenses. Dust particles are removed by radiation pressure, while the ‘cleaned’ gas is re-accreted onto the stellar surface (Mathis & Lamers 1992; Waters et al. 1992). Iron, and similar metals, have a high condensation temperature, so are more likely to condense onto dust grains. Elements such as C, N and O, with lower condensation temperatures, show no significant depletions and stay in the gas phase (Parthasarathy et al. 1992). Hence, the remaining re-accreted gas forms an iron-poor atmosphere.

Moehler et al. (1998) suggest that ROA 5701 has undergone this gas-dust separation as they found a very low Fe abundance, with N and O relatively undepleted. However, in this scenario one would expect Fe to have a similar depletion to Mg and Si, as these would also be subject to grain formation (Ueda et al. 2005). From our work we find that Mg and Si are underabundant by  $[\text{Mg}/\text{H}] = -1.1 \pm 0.2$  dex and  $[\text{Si}/\text{H}] = -1.3 \pm 0.3$  dex (relative to the ISM; Table 4). However Moehler et al. (1998) derive a Fe abundance of  $[\text{Fe}/\text{H}] = -2.6$  dex (relative to the ISM) from *Hubble Space Telescope (HST)* spectra, which is more than 1.0 dex lower than the Mg and Si values we find. Also, we have derived an abundance for S of  $[\text{S}/\text{H}] = -1.4 \pm 0.2$  dex (relative to the ISM), so the Mg, Si and S values agree with each other and are consistent with the average metallicity of the cluster,

namely  $[\text{Fe}/\text{H}] = -1.2$  dex (Piotto et al. 2005). This result would remain unchanged if we had adopted abundance estimates relative to those from young B-type stars. If grain formation has occurred, one would expect S to be relatively undepleted and therefore not have an abundance pattern in agreement with those of Mg and Si, thus implying that, for ROA 5701, there is no evidence of a ‘cleaned-up’ photosphere. There are two possibilities to explain the abundance patterns seen. Either the iron abundance suggested by Moehler et al. (1998) is incorrect, or it is indeed very low and an alternative mechanism is needed to explain the abundance results found.

One explanation for the low Fe abundance is s-processing, with Fe reduced via successive neutron capture of  $^{56}\text{Fe}$ . This scenario has been applied to H-deficient very hot post-AGB stars, e.g., PG1159 type star K1-16 (Herwig, Lugaro & Werner 2003; Werner & Herwig 2005). However s-process enriched objects are known to cover a range of metallicities between  $[\text{Fe}/\text{H}] = -0.2$  to  $-1.0$  dex (Van Winckel 2003), much greater than the value Moehler et al. (1998) find for ROA 5701, i.e.,  $[\text{Fe}/\text{H}] = -2.7$  dex. Also, this mechanism is normally observed in post-AGB stars which have C-rich circumstellar material, such as HD 158616 (Arellano Ferro, Giridhar & Mathias 2001). By contrast, we have found C to be deficient by  $[\text{C}/\text{H}] < -1.4$  dex and so s-processing seems unlikely. The low C abundance could be explained by hot bottom burning (HBB; Mooney et al. 2001), as suggested for V453 Oph (Deroo et al. 2005). In this, temperatures become large enough for CNO processing to begin at the base of the convective envelope, with  $^{12}\text{C}$  converted to  $^{14}\text{N}$  and moved to the surface. Herwig (2005) suggests that HBB may have an important role at extremely low metallicities. Only Population I stars of initial mass  $M \geq 5 \text{ M}_\odot$ , and Population II stars of  $M \geq 3.5 \text{ M}_\odot$ , experience HBB (D’Antona & Mazzitelli 1996), and as the initial mass of ROA 5701 is unknown, no conclusions can be made regarding this process.

The Fe abundance pattern observed in ROA 5701 does not appear to be plausible in terms of s-processing. For the abundance patterns of the other elements, Moehler et al. (1998) note that it is not necessary to invoke dredge-up during the AGB phase to explain these, but a moderate third dredge-up cannot be ruled out. The processes of dredge-up are well known (see, for example, Iben & Renzini 1983). Briefly, dredge-up occurs during the stars evolution as the products of hydrogen and helium burning are transported from the core to the surface, changing the surface abundances. At the first dredge-up, the surface  $^{14}\text{N}$  abundance is increased at the expense of  $^{12}\text{C}$ , while the  $^{16}\text{O}$  abundance sees little change. During the second dredge-up, hydrogen products are again moved to the surface, with  $^{12}\text{C}$  and  $^{16}\text{O}$  almost completely converted to  $^{14}\text{N}$ . The third dredge-up sees the products of the helium burning cycle, following the triple- $\alpha$  process, brought to the surface. As a result,  $^{12}\text{C}$  is enhanced relative to  $^{14}\text{N}$  and  $^{16}\text{O}$ ,  $^{14}\text{N}$  being formed during the hydrogen burning and  $^{16}\text{O}$  formed through  $\alpha$ -capture by carbon atoms. Also s-process elements are dredged-up to the surface, and so would provide evidence for the third dredge-up (Luck 1993). Objects which have undergone the third dredge-up would, therefore, have C-rich material, for example K 648 in the globular cluster M 15 (Rauch, Heber & Werner 2002).

**Table 4.** Differential abundances of ROA 5701 relative to, young B-type stars from Hunter (in preparation), where available, and supplemented by Kilian (1992, 1994)\*, and the interstellar medium (Wilms et al. 2000).

Element	Young B-type stars		ISM	
	This paper	Moehler et al. (1998)	This paper	Moehler et al. (1998)
C	< -1.4	< -2.1	< -1.9	< -2.5
N	-0.5	-0.7	-0.8	-1.0
O	-0.8	-0.6	-0.9	-0.7
Mg	-1.1	...	-1.1	...
Si	-1.5	-1.3	-1.3	-1.1
Al	< -1.0*	...	-1.1	...
S	-1.5*	...	-1.4	...
Fe	...	-2.7	...	-2.6

Hotter post-AGB objects display a deficiency in C, unlike cool post-AGB stars, which suggests that they must evolve off the AGB before the third dredge-up begins, as the final convection would bring the products of helium burning to the surface (Mooney et al. 2002). Carbon deficiencies have been observed in hotter objects with a lack of third dredge-up suggested, for example, ZNG-1 in the globular cluster M 10 (Mooney et al. 2004), Barnard 29 in M 13 (Conlon et al. 1994) and HD 341617 (Mooney et al. 2002).

ROA 5701 follows the trends seen in typical hot, post-AGB stars. The C abundance is  $[C/H] < -1.4 \pm 0.2$  dex, with O and N underabundant by  $[O/H] = -0.8 \pm 0.1$  dex and  $[N/H] = -0.5 \pm 0.2$  dex, relative to young B-type stars (Table 4). Hence N, and O to a lesser extent, are enhanced relative to the other elements and the average metallicity of the cluster. Moehler et al. (1998) observe a similar enhancement of N and O relative to the other elements. However their N abundance is not increased relative to O probably because they observed fewer  $N\text{II}$  lines. For C, only an upper limit could be set for the abundance, which indeed is lower than the average metallicity of the cluster. The C, N and O abundances measured here imply that ROA 5701 has undergone the first and second dredge-ups as the hydrogen burning products appear to have been brought to the surface (McCausland et al. 1992; Conlon et al. 1994). Therefore, the C underabundance suggests that, as with other post-AGB stars, ROA 5701 has left the AGB before the onset of the third dredge-up.

We have investigated whether ROA 5701 could be an AGB-manqué star originating from the Extreme Horizontal Branch (EHB), with diffusion still active and causing the low iron abundance observed by Moehler et al. (1998). Dorman, Rood & O'Connell (1993) uses the terminology EHB to describe HB sequences of constant mass that do not reach the thermally pulsing stage on the AGB. Instead, following core helium exhaustion, they evolve into either post early-AGB stars, which leave the AGB before thermal pulsing, or AGB-manqué stars, which never develop extensive outer convective zones and so do not reach the AGB. EHB stars have envelope masses that are too small to reach the end stages of normal AGB evolution. Landsman et al. (1992) give the luminosity of ROA 5701 as  $\log(L/L_\odot) = 3.2$ , which combined with the evidence for the occurrence of the first and second dredge-ups implies that ROA 5701 has evolved along the AGB. Therefore, ROA 5701 does not appear to be an AGB-manqué star, so the low iron abundance cannot be explained via this scenario. However, it is reasonable that

ROA 5701 could be a post early-AGB star as there is no evidence for the occurrence of the third dredge-up, see for example PHL 1580 and PHL 174 (Conlon et al. 1991), and ID6 in NGC 5986 (Jasniewicz et al. 2004).

Assuming that the star has not undergone the third dredge-up or followed a gas-dust separation, the origin of the low Fe abundance suggested by Moehler et al. (1998) remains unclear. Hence a more detailed investigation into this abundance is required. As stated previously, it is possible that with higher signal-to-noise optical spectra Fe III lines could be detected. We plan to seek such observational data in the future and examine the *HST*-UV spectra to improve upon the existing iron abundance estimate.

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